

854737.0005 (TARB-101.1)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Brian C. Tarbox et al. )  
Serial No.: 10/073,632 )  
Filed: February 11, 2002 )  
For: SYSTEMS AND METHODS FOR )  
IMPROVING INVESTMENT )  
PERFORMANCE )

) Examiner:

) Group Art Unit: 2164

) Confirmation No.: 6664

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PRELIMINARY AMENDMENT

Sir:

Prior to the examination of the above-referenced application, please amend this application as follows: